



KPMG Asset Management Platform

Regulated Investment Companies (RICs)

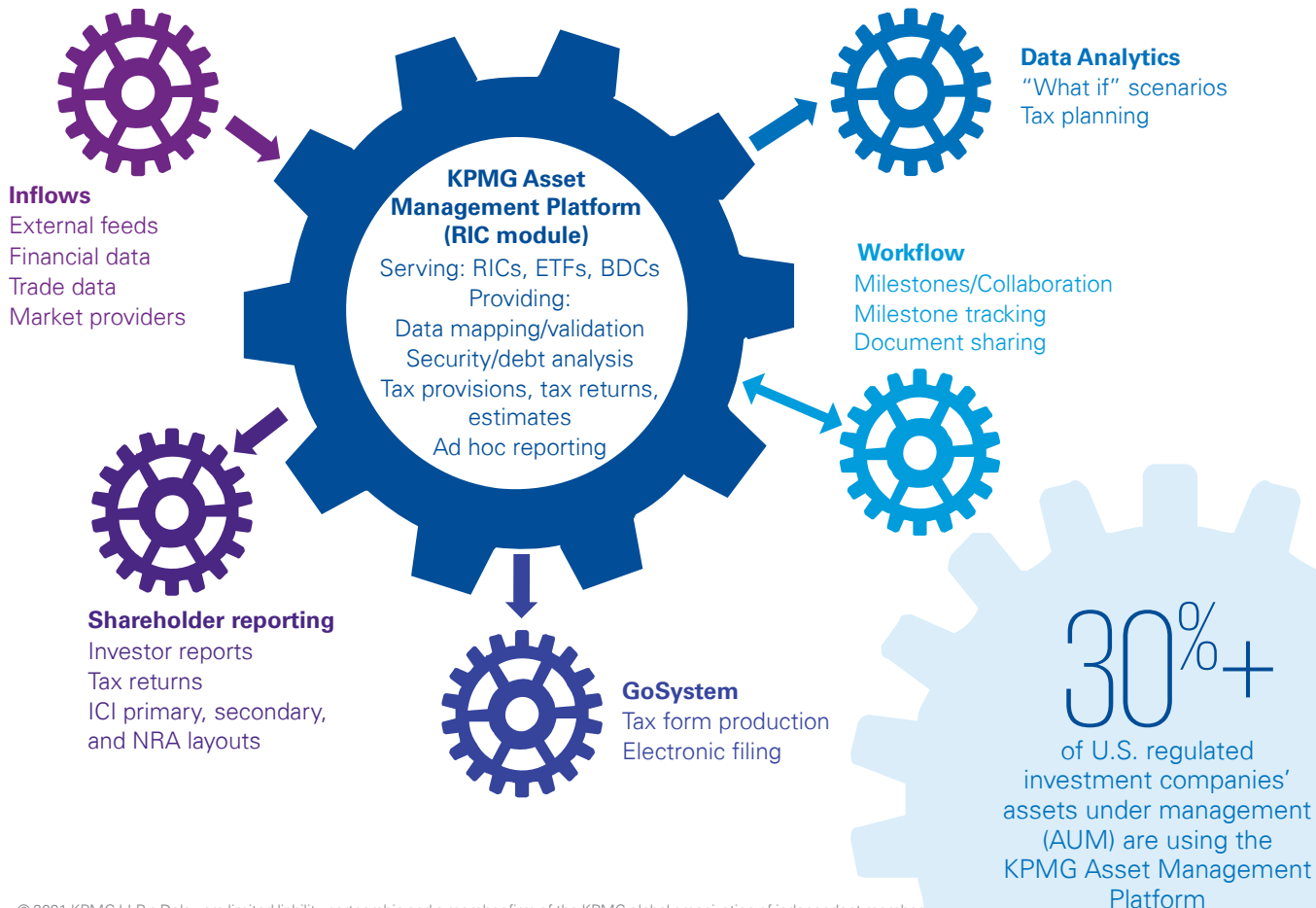


The KPMG Asset Management Platform delivers efficient, consistent, real-time tax data to enable decision-making that is scalable as your business and funds grow.

The KPMG Asset Management Platform is a leading technology that can help you reimagine cost-effective, innovative ways to execute tax compliance and planning. Informed by our extensive experience and knowledge of the tax challenges impacting RICs (which includes mutual funds, business development

companies, exchange traded funds, and closed-end funds), our Asset Management Platform enables efficiency and transparency—normalizing big data so you can save time, improve accuracy, and discover new tax value-adds for your organization.

KPMG Asset Management Platform workflow:



In an environment of accelerated and complex needs, RICs require a tax technology that offers:

- **Improved decision support** enabled by scenario planning, modeling, estimates, and data analytics.
- **Automated data entry** replacing manual processes and legacy systems that might be constraining business growth and profitability.
- **Increased operational efficiencies** across all aspects of tax compliance (centralized data collection, customized report creation, and automated tax filings).
- **Reduced risk** of missing tax compliance and investor reporting deadlines.
- **Real transparency and mobile access** into the status of tax deliverables and the capability to drill down into calculations (not a black box).

Data analytics:

The KPMG Asset Management Platform's data analytics feature offers structured, customizable reports to help analyze data across various funds and trading strategies. The tool is integrated with data visualizations that support Tableau, PowerBI, and Qlik Sense reporting suites. Customizable reports can support income/expense/distribution year-over-year analytics, IRC 855(a) verification, tax footnotes, Qualified Dividend Income (QDI), month-over-month analytics, and more.

Microsoft Power BI/KPMG Asset Management Platform Integration provides interactive visualizations and business intelligence capabilities. Power BI has been integrated to provide data visualizations. It also facilitates model building to explore real-time "what-if" scenarios and produces reports to help efficient and accurate tax review and decision-making.

Our people serving you

The KPMG Asset Management Platform, coupled with our tax experience serving RICs and executing consistent processes, can help keep your compliance, planning and reporting on track.

The partners and professionals of the KPMG Asset Management practice have extensive experience helping RIC structures, including mutual funds, BDCs, ETFs, and closed-end funds, overcome their unique tax challenges. Working with our State and Local and Washington National Tax teams, we help you realize tax advantages and maintain compliance by adding clarity through:

- Forecasting and modeling
- Transactions
- Tax efficiency
- Tax product viability
- Tax planning
- Legislative and regulatory developments.

As part of the delivery model, members of the Project Management Office (PMO) and tax technology teams will be integrated and will guide you throughout the transition and service stages. Some of the key tasks that will be performed during your transition include:

- Understanding your current process, data, and systems
- Identifying key milestones to track
- Reviewing and importing your entity data
- Tailoring reports to serve your stakeholders

For additional information including a demonstration of the KPMG Asset Management Platform, take a tour of our interactive website at [visit.kpmg.us/AMP](https://www.kpmg.us/AMP). For insights from our Asset Management practice, visit us at [kpmg.us/industries/asset-management](https://www.kpmg.us/industries/asset-management).

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